

State of New Jersey  
 Department of the Treasury  
 Integrity Oversight Monitoring Reporting Model

Firm Name: Thacher Associates LLC  
 Engagement: NJ Transit - Gladstone Line Poles & Foundations Replacement Project  
 For Quarter Ending: 09/30/2017

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	Emergency Relief Program	
5.	Award Amount	\$13,308,080.00	Represents the contract value of the single contract in Thacher Associates current scope of work.
6.	Contract/Program Person/Title	Amy Herbold, Deputy Executive Director (Interim Procurement Officer)	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of installing new concrete foundations and steel poles on the Gladstone Branch of New Jersey Transit's (NJT) Morris & Essex Line.	
8.	Contract/Program Location	Gladstone Branch (New Providence to Gladstone Rail Yard)	
9.	Amount Expended to Date	\$ 12,495,977.58 through December 31, 2016; approved by New Jersey Transit and paid to Case Foundation Company (CFC).	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	December 2017 (Est.)	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Thacher reviewed various documents obtained from NJT, CFC and L&R and performed related procedures in accordance with its integrity oversight monitoring work plan. Thacher also submitted revised memorandums pertaining to its analysis and status of findings regarding DBE Compliance.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Thacher completed its review of all relevant documents received from NJT for all risk categories.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher completed relevant audit activities for all risk categories.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Thacher completed its review of payment requisitions during the second quarter of 2017.	

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18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Thacher completed relevant activities to prevent and detect fraud, waste and abuse.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	None.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None.	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the period July 1, 2017 through September 30, 2017 Thacher expended 13.75 hours (see Comments for breakdown) and no expenses. This represents hours spent on document reviews, compiling supporting work papers, and revising memorandums for submission to NJT. Additionally, Thacher filed prior quarterly reports with the Department of the Treasury for the quarters ended June 30, 2017, March 31, 2017, December 31, 2016, September 30, 2016, June 30, 2016, March 31, 2016, December 31, 2015, September 30, 2015, and June 30, 2015.	Jose L. Velazquez, Project Manager - 13.75
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC Name of Report Preparer: Jose L. Velazquez, Jr. Signature: <i>Jose Velazquez</i> Date: January 2, 2018
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